



Attorney Docket: 11197/15

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: SATO, et al.	Art Unit: Unknown
Application No.: 10/581,046	Examiner: Unknown
Filing Date: May 30, 2006	

For: THERMOPLASTIC RESIN COMPOSITION FOR MASTERBATCHES, METHOD OF PRODUCING MOLDING MATERIAL THEREOF, AND THERMOPLASTIC RESIN COMPOSITION USING THEM AND METHOD OF PRODUCTION THEREOF

**Mail Stop Petitions**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION UNDER 37 CFR 1.47(a)**

Sir:

Applicants hereby submit this Petition in the above-identified application for acceptance of the attached Declaration and Power of Attorney for Patent Application that was signed by all the joint inventors, except Mr. Shigeru Yasuda, who refuses to sign.

A Statement of Facts, signed by a joint inventor, Ms. Machiko Tanaka, is attached.

As shown in the Statement of Facts, Mr. Yasuda was an employee of Toyo Boseki Kabushiki Kaisha, who left his job on December 25, 2004. Mr. Yasuda was called on September 8, 2006 and September 21, 2006 to request that he sign the Declaration. Mr. Yasuda refused to sign. Letters, including the Declaration, were mailed to Mr. Yasuda on September 13, 2006 and September 21, 2006. Copies of the letters and their English translations are attached. Mr. Yasuda refused to sign and returned the unsigned Declaration to Toyo Boseki on September 20, 2006 and September 25, 2006. Copies of the front of delivery-stamped returned envelopes and their English translations are attached.

A subsequent letter to Mr. Yasuda mailed February 7, 2007 was returned to Toyo Boseki marked "Address unknown." A copy of the front of the returned envelope and its English translation are attached.

The last known address of Mr. Yasuda is:

LUNEPARADIO 321

4-1-24 Hamakoshien

Nishinomiya-shi 663-8154 JAPAN

Tel: 81-798-43-2509

03/19/2007 LLANDGRA 00000028 110600 10581046

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1 of 2

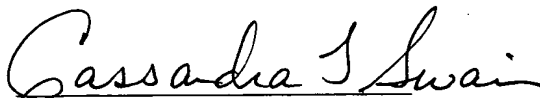
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The U.S. Patent and Trademark Office is authorized to charge the petition fee set forth in 37 CFR 1.17(h) to Deposit Account 11-0600.

Respectfully submitted,  
KENYON & KENYON LLP

Dated: March 16, 2007

By:

  
Cassandra T. Swain, Ph.D.  
Reg. No. 48,361

1500 K Street, N.W.  
Washington, DC 20005-1257  
Tel.: (202) 220-4200  
Fax: (202) 220-4201

Attachments:

- Declaration
- Statement of Facts
- Copy of September 13, 2006 Letter and English Translation
- Copy of September 20, 2006 Returned Envelope and English Translation
- Copy of September 21, 2006 Letter and English Translation
- Copy of September 25, 2006 Returned Envelope and English Translation
- Copy of February 7, 2007 Returned Envelope and English Translation



Attorney Docket: 11197/15

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**Mail Stop Petitions**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF FACTS IN SUPPORT OF  
PETITION UNDER 37 CFR 1.47(a)**

Sir:

In support of a Petition under 37 CFR 1.47(a) regarding a joint inventor, Mr. Shigeru Yasuda, who refuses to join in the above-identified application for patent, I would like to state the following facts per MPEP 409.03(d).

- (1) I am a co-inventor of the above-identified application.
- (2) Mr. Shigeru Yasuda was an employee of Toyo Boseki Kabushiki Kaisha, having its principal place of business at 2-8, Dojima Hama 2-chome, Kita-ku, Osaka-shi, Osaka 530-8230 JAPAN.
- (3) Mr. Yasuda left his employment with Toyo Boseki Kabushiki Kaisha on December 25, 2004.
- (4) Mr. Yasuda refuses to sign the Declaration and the Assignment for the above-identified application.
- (5) On September 8, 2006, I asked Mr. Yasuda to sign by telephone.
- (6) On September 13, 2006, I sent a letter to Mr. Yasuda. The letter included the application papers, including the specification and claims, an Assignment, and a Declaration. A copy of the September 13, 2006 letter is enclosed. An English translation of the letter is also enclosed for your convenience.

- (7) Mr. Yasuda mailed all the documents back to me without comment and without signing the Declaration and the Assignment.
- (8) On September 21, 2006, I asked Mr. Yasuda to sign by telephone again. Mr. Yasuda stated that he would not sign the Declaration and the Assignment.
- (9) On September 21, 2006, I sent a second letter to Mr. Yasuda. The letter included the application papers including the specification and claims, an Assignment, and a Declaration. A copy of the September 21, 2006 letter is enclosed. An English translation of the letter is also enclosed for your convenience.
- (10) Mr. Yasuda mailed the documents back without signing the Declaration and the Assignment. Mr. Yasuda again indicated that he would not sign the Declaration and the Assignment.
- (11) Mr. Yasuda's last known address and telephone number are set forth below:
- LUNEPARADIO 321  
4-1-24 Hamakoshien  
Nishinomiya-shi 663-8154 JAPAN  
Tel: 81-798-43-2509.

Respectfully submitted,

Dated: March 9, 2007

By: Machiko Tanaka  
Machiko TANAKA  
c/o TOYO BOSEKI KABUSHIKI  
KAISHA  
2-8, Dojima Hama 2-chome,  
Kita-ku, Osaka-shi, Osaka  
530-8230 Japan

Enclosures

①

**JAPAN POSTAGE 560 YEN**

Nishinomiya minami koushien

September 20, 2006

530-8230

To Intellectual Property Dept.,

TOYO BOSEKI KABUSHIKI KAISHA

2-8, Dojima Hama 2-Chome, Kita-ku, Osaka-shi,

Osaka

**Regisrty**~~TOYOBO~~

2-8, Dojima Hama 2-Chome,

Kita-ku, Osaka-shi, Osaka

530-8230

TEL (06)6348-

FAX(06)

URL <http://www.toyobo.co.jp>

Name of the Dept.

.....  
.....(day/month/year).....



530-8230

大阪市北区堂島浜 2-2-8

東洋紡績(株)

知的財産部 宛

書留

TOYOBO



東洋紡

〒530-8230

大阪市北区堂島浜二丁目2番8号

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部課名

年 月 日

当社あての文書には部課名を入れて下さい。

環境保護のため、再生紙を使用しています

**JAPAN POSTAGE 660 YEN**

Nishinomiya minami koushien

September 25, 2006

8-12 AM

530-8230

To Intellectual Property Dept.,

TOYO BOSEKI KABUSHIKI KAISHA

2-8, Dojima Hama 2-Chome, Kita-ku, Osaka-shi,

Osaka

**Regisrty**

~~TOYOB~~

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Kita-ku, Osaka-shi, Osaka

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URL <http://www.toyobo.co.jp>

Name of the Dept.

.....  
.....(day/month/year).....



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知的財産部 宛

書留



161-29-93908-1

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TEL(06)6848-  
FAX(06)  
URL <http://www.toyobo.co.jp>  
部課名

年 月 日

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FROM: Person in charge, Tanaka,  
Intellectual Property Dept.,  
Toyobo Co., Ltd.  
Phone: 6348-4378 Fax: 6348-3393

DATE: September 13, 2006

TO: Mr. Shigeru Yasuda

SUBJECT: JAPANESE PATENT APPLICATION AND U. S. PATENT  
APPLICATION

We are awfully sorry to have abruptly called you the other  
day.

Please forgive us to have taken a time to write to you,  
but with respect to the application already submitted in  
accordance with PCT, for which you are an inventor, we have to  
inform you that your signatures and seals are required to be  
affixed to the documents to be submitted when the application  
for patents enters into the national phase in Japan and in the  
United States as well as the documents required in our company.

We are awfully sorry to cause you trouble but please affix

your seals or signatures to the documents attached herewith and return them to our Company.

With our best regards.

Documents enclosed:

Written proposal to application: (Please affix your seal in the inventor column.)

Declaration and Power of Attorney for Patent Application and Assignment: (Please sign your name in full in blue ink at the places with Post-it attached (two places in total).)

Envelope for return mail

2006年9月13日

安田 滋 様

東洋紡績株式会社

知的財産部

(担当：田中)

(Tel 6348-4378)

(FAX 6348-3393)

日本特許出願および米国特許出願について

先日は突然のお電話で失礼しました。

連絡が遅くなりましたが、貴殿が発明者であるPCT既出願の件で、その後、日本とアメリカへ移行する際に提出する書類や、弊社内での必要書類に捺印、サイン等が必要となりました。

そこで、お手数をおかけし申し訳ありませんが、添付の書類にご捺印またはサインをして戴き、同封の封筒にて、弊社までご返送戴きたく存じます。

敬具

同封書類)

出願提案書 (発明者欄にご捺印をお願いします)

アメリカ出願用宣誓書・譲渡書 (ポストイットの箇所にフルネーム・  
青インクでサインをお願いします  
(合計2箇所))

返信用封筒

(3)

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OSAKA FURUKAWA BLDG  
February 8, 2007  
000784  
8EE5438PB8800831

663-8126

Address unknown/Nishinomiyahigashi

Mr. Shigeru YASUDA

6-6-406, 1-chome, Komatsukita-cho, Nishinomiya-shi

Regierty

TOYOBO

2-8, Dojima Hama 2-Chome,  
Kita-ku, Osaka-shi, Osaka

530-8230

TEL (06)6348-4378

FAX(06)

URL <http://www.toyobo.co.jp>

Name of the Dept.

Intellectual Property Dept.

February 7, 2007

大阪古河ビル内

08.02.07

OSAKA  
FURUKAWA BLDG



日本郵便

NIPPON

00560

000784

8EE5438 PB8800831

663-8126

あて所に尋ね  
あたりません

西宮東

1

2

西宮市小松北町1丁目

6-6-406

安田 滋 様

書留



175-50-89028-0

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大阪市北区堂島浜二丁目2番8号

TEL(06)6348-

FAX(06)

URL <http://www.toyobo.co.jp>

部課名

知内社印

2007年 2月 7日

当社あての文書には部課名を入れて下さい。

環境保護のため、再生紙を使用しています



FROM: Person in charge, Tanaka,  
Intellectual Property Dept.,  
Toyobo Co., Ltd.  
Phone: 6348-4378 Fax: 6348-3393

DATE: September 21, 2006

TO: Mr. Shigeru Yasuda

PCT Application into the National Phase in the U. S.  
for International Publication No. WO2005-056646A1

We are awfully sorry to have caused you trouble because  
of our lack of explanation.

The present case relates to the application already  
submitted in accordance with PCT, for which you are an inventor  
(enclosed document [1]: International Publication No.  
WO2005-056646A1), and your signatures are required for the  
documents (Declaration and Power of Attorney for Patent  
Application and Assignment) to be submitted to the U. S. Patent  
Office to enter the PCT application into the national phase in  
the U.S. (The contents of the application are identical to the  
above International Publication).

By the way, the documents submitted to you the other day to enter the PCT application into the national phase in Japan are documents that require in-house processing in our company and will be processed by our company and no seals are required, but the documents to enter the PCT application into the national phase in the U.S. are the documents submitted to the U. S. Patent Office, and your signatures are required.

In fact, we presented the written oath and assignment (enclosed document [2]: Declaration and Power of Attorney for Patent Application and Assignment already submitted) to our American attorney, but they notified us that the signature is required if we are in a position to have your signature. Your understanding is appreciated.

We are awfully sorry to cause you trouble, but please affix your signatures to the enclosed document (enclosed document [3]: Declaration and Power of Attorney for Patent Application and Assignment) and return them to us by enclosing them in the envelope enclosed.

If you have any ambiguous or doubtful points on this subject, please feel free to let us know, as we are always at your service.

With our best regards,

Documents Enclosed:

[1] International Publication No. WO2005-056646A1 (return not required)

[2] Copy of the Declaration and Power of Attorney for Patent Application and Assignment already submitted (return not required)

[3] Original of the Declaration and Power of Attorney for Patent Application and Assignment

(Please sign your name in full in blue ink at the places with Post-it attached (two places in total) and return to us.)

[4] Envelope for return mail

2006年9月21日

安田 滋 様

東洋紡績株式会社

知的財産部

(担当：田中)

(Tel 6348-4378)

(FAX 6348-3393)

国際公開番号W02005-056646A1のアメリカ移行出願について

先日は、こちらの説明不足で大変失礼致しました。

さて、本件は貴殿が発明者であるPCT既出願(同封書類①：国際公開番号W02005-056646A1)の件で、その後、アメリカへ移行(出願内容は全く上記国際公開と同じ)する際にアメリカ特許庁へ提出する書類(アメリカ出願用宣誓書・譲渡書)にサインが必要となった件でございます。

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実は、貴殿のサインなしで前記宣誓書と譲渡書を提出(同封書類②：提出済みDECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION(出願用宣誓書)とASSIGNMENT(譲渡書))したのですが、やはり、アメリカの代理人からサインを戴ける状況であれば、サインが必要との連絡があり、今に到ったのでございます。何卒ご理解の程、お願い申し上げます。

誠にお手数をおかけし申し訳ありませんが、同封の書類(同封書類③：DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION(出願用宣誓書)とASSIGNMENT(譲渡書))にサインをして戴き、同封の封筒にて、弊社までご返送戴きたくお願い申し上げます。

なお、なかなか文書による説明では判りにくい点多々あると存じますので、何かご不明な点がございましたら、お手数ですが、ご返送前にご一報戴きますと幸甚でございます。

敬具

同封書類)

- ① W02005-056646A1 公報 (返却不要)
- ② 提出済みの DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (出願用宣誓書) と ASSIGNMENT (譲渡書) のコピー (返却不要)
- ③ DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (出願用宣誓書) と ASSIGNMENT (譲渡書) 原本  
(ポストイットの箇所にフルネーム・青インクでサイン (合計 2 箇所) 後、ご返却願います)
- ④ 返信用封筒

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